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**IMPROVED PHRASE TRANSLATION MODELING
USING MAXIMUM A-POSTERIORI (MAP)
ADAPTATION**

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IMPROVED PHRASE TRANSLATION MODELING USING MAP ADAPTATION

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1.0 ABSTRACT

In this paper, we explore several methods of improving the estimation of translation model probabilities for phrase-based statistical machine translation given in-domain data sparsity. We introduce a hierarchical variant of MAP adaptation for domain adaptation with an arbitrary number of out-of-domain models. We compare this adaptation technique to linear interpolation and phrase table fill-up. Additionally, we note that domain adaptation can have a smoothing effect, and we explore the interaction between smoothing and the incorporation of out-of-domain data. We find that the relative contributions of smoothing and interpolation depend on the datasets used. For both the IWSLT 2011 and WMT 2011 English-French datasets, the MAP adaptation method we present improves on a baseline system by 1.5+ BLEU points.

2 INTRODUCTION

Real-world performance of statistical MT models is often limited by training bitext availability. Performance of SMT models is sensitive not only to the amount of training data, but also to the domain from which these data are drawn. For optimal performance, developers of SMT systems will typically make significant investments to acquire bitexts *in the domain of interest*, which can be difficult and expensive.

In this paper, we describe efforts to improve SMT performance through better use of out-of-domain bitexts. We do this in the context of MAP estimation, a well-known approach for adaptation of statistical models that has been applied to the related problems of speech recognition [1] and language modeling [2,3], and which we apply here to the phrase translation tables used during SMT. We extend this method, which we developed previously for two phrase tables [4], to an arbitrary number of models.

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In MAP adaptation, translation probabilities from the in-domain model are backed-off to out-of-domain estimates when the phrase pair occurs rarely in the in-domain data. When no out-of-domain estimate exists, this results in a smoothing effect. Linear interpolation, another domain adaptation technique, also has a smoothing effect for phrase pairs that are not contained in all of the models being interpolated.

When applied to a single phrase table, smoothing has been shown to improve SMT performance [5]. This observation leads us to investigate the extent to which the gains produced by domain adaptation are a result of smoothing.

In this paper, we empirically explore the relationship between phrase table smoothing and interpolation methods. We compare the results of MAP adaptation with the results of two other domain adaptation methods, linear interpolation and phrase table fill-up, and test these techniques on both smoothed and unsmoothed phrase tables.

3.1 Prior Work

3.2 Domain Adaptation

Maximum a-posteriori (MAP) adaptation is a Bayesian estimation method that attempts to maximize the posterior probability of a model given the data, as in [1]. The standard MAP formulation defines two probability distributions: a prior distribution ($p(s|t, \lambda_0)$) and a distribution estimated over the adaptation data ($p(s|t, \lambda_{adapt})$). For phrase table estimation, the in-domain corpus is treated as adaptation data for estimating $p(s|t, \lambda_{adapt})$ and the out-of-domain corpus is assumed to be $p(s|t, \lambda_0)$.

$$\hat{p}(s|t, \lambda) = \frac{N_{adapt}(s, t)}{N_{adapt}(s, t) + \tau} p(s|t, \lambda_{adapt}) + \frac{\tau}{N_{adapt}(s, t) + \tau} p(s|t, \lambda_0) \quad (1)$$

where $N_{adapt}(s, t)$ is the joint count of s and t in the adaptation data and τ is the MAP relevance factor. We previously used this method to effectively make use of out-of-domain data to improve performance of phrase tables trained with limited amounts of data[4]. Foster et al. [6] also used a MAP-based approach for phrase table interpolation, improving performance over a baseline system for a number of different datasets.

Linear interpolation of translation probabilities, with fixed weights for each corpus, has also been shown to improve SMT performance [7]. The basic formulation is:

$$\hat{p}(s|t, \lambda) = \sum_{i=1}^M \alpha_i(s, t) p(s|t, \lambda_i) \quad (2)$$

where M is the number of corpora and α_i is the interpolation coefficient for the i th corpus.

Foster and Kuhn [7] test several strategies for determining the best mixture weights, comparing uniform weights with TF/IDF-, perplexity-, and EM- based techniques. Although they obtain small improvements using the more complex techniques, all variations yield performance gains of about 1 BLEU point on the NIST 2006 Chinese dataset.

Phrase table fill-up has also recently been used for domain adaptation [8]. Fill-up is initialized with all phrase pairs from the in-domain phrase table. Phrase pairs from the out- of-domain table

are then added only if they are not in the in-domain table. The probabilities associated with phrase pairs added to the table are not changed. An extra binary feature is added to the table, indicating which model each phrase came from; that feature can then be used during optimization to penalize out-of-domain phrase pairs relative to those from the in-domain table. Additional phrase tables can be “cascaded” together, with phrase pairs from a new table added only when they are not already contained in the filled-up table. Another binary feature is added to the final phrase table for each additional model. On the 2011 IWSLT English-French dataset, fill-up adaptation improves performance by 0.7 BLEU points, and is comparable to linear interpolation with uniform weights.

3.3 Phrase Table Smoothing

Foster et al. [5] review a large number of phrase table smoothing techniques, and find that all of them significantly improve the performance of a baseline SMT system. Kneser-Ney [9] and modified Kneser-Ney [10] smoothing have the best performance overall, producing gains of almost 1.5 BLEU points on an English-French task. The phrase table formulation of KN smoothing is [5]:

$$p(s|t) = \frac{N(s, t) - D}{N(t)} + \alpha(t)P_b(s) \quad (3)$$

$$\alpha(t) = Dn_{1+}(*, t) / \sum_{\tilde{s}} N(\tilde{s}, t) \quad (4)$$

$$P_b(s) = n_{1+}(s, *) / \sum_{\tilde{s}} n_{1+}(\tilde{s}, t) \quad (5)$$

where $n_{1+}(s, \tilde{t})$ is the number of target phrases aligned with source phrase s , and $n_{1+}(\tilde{t}, t)$ is the reverse. In modified Kneser-Ney, the discount D is replaced by an empirically derived discount D_i , which is dependent on the joint count $N(s, t) = i$ of the source-target phrase pair.

Chen et al. [11] introduce an enhanced low frequency (ELF) feature designed to penalize phrase pairs with low joint counts during optimization. The feature is: $helf(s, t) = e^{\frac{-1}{N(s, t)}}$, which is a $1/N(s, t)$ penalty in a log-linear model. Using this feature on the WMT 2010 French-English dataset, the authors report a gain of 0.55 BLEU points over a baseline phrase table. When added to modified Kneser-Ney, this feature produced an additional gain of .07 BLEU points.

4.1 METHODS

4.2 MAP

We extend MAP to an arbitrary number of corpora, M . In this formulation, models trained on corpora that are more distant from the test domain are successively MAP-adapted with models estimated from less distant corpora. This is done by sorting the corpora based on their distance to a development set and constructing an adaptation hierarchy as shown in Figure 1. The formulation of MAP for multiple corpora used in this paper is shown below:

$$\hat{p}_i(s|t, \lambda) = \frac{N_i(s, t)}{N_i(s, t) + \tau_i} p_i(s|t, \lambda_i) + \frac{\tau_i}{N_i(s, t) + \tau_i} \hat{p}_{i+1}(s|t, \lambda_{i+1}) \quad (6)$$

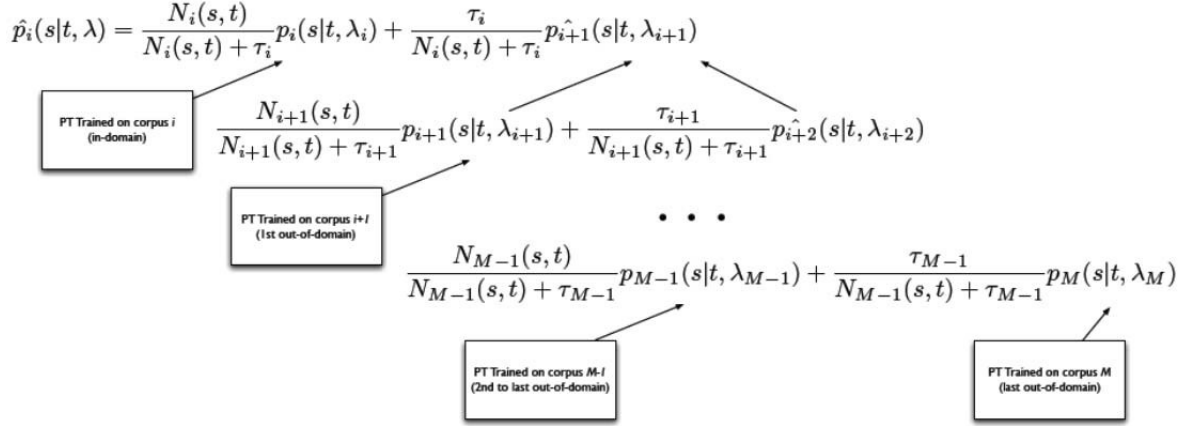


Figure 1: MAP with Multiple Corpora

MAP-based interpolation is done at the phrase-pair level, and the final probability estimate for the given phrase pair is $\hat{p}_1(s|t)$.

We experiment with two methods of constructing the MAP hierarchy.

First, TFLLR-based similarity scores are computed using 1-gram document vectors created from the source language data from each corpus, with all words with *count* < 5 and all stop words removed. Background statistics are derived by concatenating all corpora used in these experiments. They are then used to compute a TFLLR weighting [12] which is applied to the document vectors. For each model, we compute the cosine similarity between the vectors from the training data for that model and from the development data. These similarities are then sorted to produce an ordering for MAP.

Second, we use each phrase table individually to translate the development dataset and create a MAP hierarchy from the resulting BLEU scores.

We present a method for determining τ_i based on the TFLLR-based similarity scores described above. τ_i is chosen so that the interpolation coefficient for each model is, on average, equal to the similarity score for that corpus, with the scores normalized to sum to one:

$$\alpha_i = s_i = \frac{\bar{N}_i}{\bar{N}_i + \tau_i} \quad (7)$$

$$\tau_i = \frac{\bar{N}_i(1 - s_i)}{s_i} \quad (8)$$

As described in 4.2, these normalized scores are also used as corpus weights for linear interpolation. With this choice of τ_i , the smoothing effect of MAP on the in-domain model is, on average, the same as the smoothing effect of linear interpolation with those weights.

4.3 Linear Interpolation

There are many ways to determine the coefficients used for linear interpolation, and no consensus on the best method of doing so [8]. For this paper, we compare the TFLLR-based similarity scores used to determine τ for MAP with uniform interpolation weights.

In both cases, linear interpolation has a smoothing effect similar to that produced by MAP estimation, but with a key difference. When a phrase exists only in the in-domain model, MAP reduces to:

$$\hat{p}(s|t, \lambda) = \frac{N_{adapt}(s, t)}{N_{adapt}(s, t) + \tau} p(s|t, \lambda_{adapt}) \quad (9)$$

MAP assumes that low-count phrase pairs are poorly estimated relative to higher-count pairs, and this smoothing effect removes more probability mass from the least reliable estimates. During linear interpolation, however, probabilities are trusted solely based on the domain used to estimate them, with no regard for the number of occurrences that produced that estimation.

Like the MAP smoothing effect, both Kneser-Ney smoothing and the ELF feature are count-based. Combining linear interpolation with these other smoothing methods may, therefore, result in additional performance improvements. We test this hypothesis by interpolating smoothed phrase tables. When combining linear interpolation with the ELF feature, we add one ELF feature to the phrase table for each corpus.

4.4 Phrase Table Fill-up

The rankings used to determine the MAP hierarchies are also used as the cascade orderings for phrase table fill-up. Fill-up interpolation has no smoothing effect, so we test it both with and without smoothing. When combining this interpolation method with the ELF feature, we use a slight modification of the original phrase table fill-up method. We use that ELF feature in place of the binary fill-up feature, and include an ELF feature for the in-domain model, to allow the optimizer to penalize low frequency words from that model. For comparison, we include a binary feature for the in-domain model in our baseline fill-up implementation.

5.1 Experiments

5.2 Data

All experiments performed for this paper, test English-French translation using four corpora: Europarl (EP), Gigaword, News Commentary (NC), and TED. The first three came from WMT 2011 [13], and the last from IWSLT 2011~[14]. The NC and TED datasets are relatively small at just over 100K sentences each, while EPI contains 600K sentences and Gigaword 2.5M sentences.

We run all experiments on both the WMT 2011 and IWSLT 2011 test datasets. When testing on the WMT data, we use the WMT 2010 test set as development data for optimization. We consider the TED data to be the in-domain set for the IWSLT tests, and experiment with treating either the NC or EP data as in-domain for WMT.

5.3 System

Our baseline system uses a standard SMT architecture and has performed well on past evaluations [4,15].

We use interpolated Knesser-Ney n-gram language models built with the MIT Language Modeling Toolkit [16]. Additional class-based language models were also trained on the TED data and used for rescoring when translating the IWSLT dataset.

All phrase tables were created with IBM Model 4 alignments [17]; alignments extracted using the Berkeley Aligner and Competitive Linking Algorithm (CLA) were added to the NC and TED phrase tables [18].

Our translation model assumes a log-linear combination of phrase translation models, language models, etc. We optimize the combination weights over a development set using minimum error rate training with a standard Powell-like grid search [19]. We use the Moses decoder [20].

All scores reported here are average BLEU scores obtained from three rounds of optimization.

5.4 Results

We ran four experiments exploring the effect of smoothing on a single phrase table: baseline, ELF, KN, and ELF + KN. We did two sets of these experiments: one in which only the in-domain phrase table and language model were used, and one in which the in-domain phrase table was paired with language models from all available corpora. Results are shown in Table 1. As expected, KN with the ELF feature has the best overall performance. The ELF feature seems to be particularly useful on the IWSLT dataset; the results are less consistent for WMT. These results suggest that the EP model should be considered in-domain for WMT. The extra language models provide a boost of 2 to 2.5 BLEU points across the board.

Table 1: Smothing Results

dataset	IWSLT		WMT			
phrase table	TED	TED	NC	EP	NC	EP
language models	TED	all	NC	EP	all	all
baseline	28.63	30.75	21.48	23.49	24.94	25.69
ELF	30.01	30.91	21.87	23.29	24.30	26.16
KN	29.21	30.71	21.83	23.96	24.67	26.34
KN + ELF	29.91	31.35	22.01	23.95	25.05	26.18

Table 2: Phrase Table Fill-Up Results

dataset	IWSLT		WMT	
ordering	TFLLR	BLEU	TFLLR	BLEU
fill-up	30.70	31.43	25.77	26.52
fill-up + ELF	31.02	30.45	25.95	25.97
fill-up + KN	30.63	31.19	25.93	27.3
fill-up + KN + ELF	30.73	31.09	26.10	26.66

We experimented with all of the above smoothing options in combination with both fill-up and distance-based interpolation. We used all four language models for all domain adaptation experiments.

Fill-up results are in Table 2. For the WMT dataset, fill-up provides a clear improvement over the baseline. Performance on the WMT dataset is strongly impacted by the fill-up cascade ordering, most likely because the TFLLR-based ordering treats the NC model as in-domain, while the BLEU ordering treats the EP model as in-domain.

Fill-up order has less of an impact on the IWSLT dataset; the TED model is considered in-domain for both. Regardless of order, fill-up performs badly on the IWSLT dataset, suggesting that some phrases from the out-of-domain corpora may be hurting performance.

Table 3: Linear Interpolation Results - IWSLT

dataset	IWSLT		WMT	
weights	Uniform	TFLLR	Uniform	TFLLR
original	31.82	31.83	27.71	27.6
KN	31.56	31.76	27.61	27.65
ELF	31.78	32.00	27.66	27.67
KN + ELF	31.62	31.64	27.70	27.58

Linear interpolation results are in Table 3. For both datasets, the choice of weights had little impact on performance; smoothing also does not provide any additional improvement on top of interpolation. While linear interpolation is better than the baseline for both datasets, the gain is much larger for WMT.

MAP using the BLEU ordering outperformed MAP with the TFLLR-based ordering for both datasets. Using the BLEU ordering, we experimented with several constant values of MAP τ , as well as the TFLLR-based values described in Section 4.1. The results are shown in Table 4. Overall, MAP performance is relatively insensitive to the value of τ chosen.

Table 4: MAP Results

dataset	IWSLT	WMT
TFLLR-based τ	32.06	27.05
$\tau = 4$	32.42	27.00
$\tau = 12.5$	32.33	27.26
$\tau = 25$	31.75	27.11
$\tau = 50$	32.37	27.12

On the IWSLT dataset, MAP equals or outperforms linear interpolation for all values of τ , while linear interpolation consistently outperforms MAP on the WMT dataset.

The two datasets used here have very different characteristics. The TED training data is well-matched to the IWSLT test domain, but contains relatively few sentences. As a result, the model is poorly estimated, and benefits greatly from count-based smoothing. Data from other domains, however, does not consistently improve IWSLT performance. In this situation, MAP adaptation outperforms the other interpolation and smoothing techniques tested here.

The EP model, on the other hand, is trained on a large amount of data, but that data does not come from the WMT test domain. WMT performance is very much improved by the incorporation of out-of-domain data, and is less impacted by count-based smoothing techniques. Linear interpolation performs better than MAP adaptation in this case.

6.0 Conclusion

Table 5: Results Summary

dataset	IWSLT	WMT
baseline, single LM	28.63	23.49
best smoothed baseline, single LM	30.01	23.95
baseline, all LMs	30.75	25.69
best smoothed baseline, all LMs	31.35	26.34
fill-up, BLEU ordering	31.43	26.52
fill-up + KN, BLEU ordering	31.19	27.30
uniform linear interpolation	31.82	27.72
linear interpolation, TFLLR weights	31.83	27.6
linear interpolation, TFLLR + KN	31.76	27.65
MAP, TFLLR-based τ	32.06	27.05
MAP, best constant τ value	32.42	27.26

In this paper, we examined several methods of phrase table interpolation and compared them with the hierarchical MAP adaptation technique that we present. We also explore the relative contributions of smoothing and the addition of out-of-domain data to the performance gains achieved through phrase table interpolation.

For both the WMT 2011 and IWSLT 2011 datasets, phrase table fill-up performs worse than both linear interpolation and MAP adaptation. Linear interpolation is more effective than MAP on the WMT dataset, while the reverse is true for IWSLT.

These results can be explained by the nature of the datasets themselves. Count-based smoothing, and thus MAP adaptation, has a greater impact when the in-domain model is poorly estimated but well-matched to the test domain, as in the IWSLT dataset. When the converse is true, as in the WMT dataset, the incorporation of additional data provides the greatest performance improvement. Despite these differences, the MAP adaptation technique we present improves baseline performance by 1.5+ BLEU points on both datasets.

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